

6th Tax Compliance for Financial Institutions

Adopting CRS Updates and Sustaining FATCA and US Reporting Compliance by Financial Institutions

November 7-9, 2017
New York, NY

[More Registration Details. Click Here!](#)

Conference Chairperson:

Dana Flynn
Director, Head of U.S. IRW, FATCA & QI Advisory
BNP Paribas

Pre-Conference Workshops: November 7, 2017

Workshop A: Debriefing from CRS 2017 to Educate both Late and Early Adopters with **Citi**

Workshop B: Achieving Reporting Excellence from an Operations-Heavy Perspective by Setting Up Controls that Sustain Compliance with **BNP Paribas** and **State Street**

Attending This Premier **marcus evans** Conference Will Enable You To:

- **Execute** FATCA and CRS Requirements from various perspectives to mitigate risk at your institution with **Citi** and **Deutsche Bank**
- **Assess** Chapter 3, Chapter 4, Chapter 61, 871(m), and 305(c) to guarantee adaptability and strong execution with **Bank of America** and **BNY Mellon**
- **Plan** for the inaugural year of Country by Country reporting to anticipate expected challenges with **JPMorgan Chase and Goldman Sachs**
- **Evaluate** FI and QI agreements and meet the requirements associated with being a QDD with the **IRS** and **BNP Paribas**
- **Explore** the reality of banking in the Caribbean and Latin America and examine the impact that a hard Brexit may have on your institution with **State Street**

Who Should Attend:

marcus evans invites SVPs, VPs, Directors, Senior Counsel, Managing Directors and Similar from Financial Institutions with responsibilities or involvement in the following:

- Tax, CRS, and FATCA Compliance and Operations
- Financial Controls / Comptrollers
- Regulatory Compliance
- Information Withholding and Reporting (IRW)
- Client On-boarding / Know Your Customer (KYC)

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Report Information to Adhere to Rules and Regulations in a Dynamic Global Environment

Mastering CRS, FATCA, 305c, 871m, treaty benefits, QI agreements, and additional reporting requirements within foreign and domestic tax regimes



Featured Tax Compliance Expert Speakers Include:

Dana Flynn
Director, Head of U.S. IRW, FATCA & QI Advisory
BNP Paribas

Ellen Kandel-Burg
Executive Director
JP Morgan Chase & Co

Christopher Liguori
Managing Director
State Street Global Services

Bill Holmes
Director, Data Solutions
Internal Revenue Service

Simon Lee
Assistant Vice President, Tax
CIBC Mellon

Shawn Ormont
Vice President, Tax
Brown Brothers Harriman

Ahmed Essam El Din Omar
Head of Compliance, FATCA & CRS Responsible Officer
Banque Misr

Hazel O'Brien
Business Manager, FATCA/CRS
Harneys Fiduciary

Michelle Martin
President
Anti-Money Laundering and Financial Crimes Institute

Jennifer Dors
Group Compliance Head
Maduro & Curiel's Bank

Prof. Haydon Perryman
Senior FATCA & CRS Advisor
TAINA Technology

Kevin V. Sullivan
Managing Director & Tax Executive, Head of U.S. Information Reporting Advisory
Bank of America

Jack Braun
Managing Director, Tax Information Reporting & Withholding, Corporate Tax
BNY Mellon

Chris Phillips
Director & FATCA Responsible Office
Deutsche Bank

Carolyn Diehl
Vice President, Tax Compliance
Fidelity Investments

Barry Levine
Program Manager, Data Solutions
Internal Revenue Service

David Felman
Vice President & Tax Counsel, Tax Planning & Advisory
Goldman Sachs

Mohamed Vandi
Assistant Vice President, Tax
Brown Brothers Harriman

Ryan Bahadur
Senior Vice President, Chief Risk & Regulatory Compliance Officer
Cayman National Corporation Ltd.

Violeta Sanford
FATCA Compliance Officer
Global Bank

Marta Kurucz
Leading Manager of Client Pilots & FATCA / CRS Subject Matter Expert
TAINA Technology

Karan Mosley
Director, Information Reporting & Tax Withholding, Corporate Tax
Barclays Capital

Patricia Lopez
Senior Vice President, Tax Information Reporting, Finance & Risk Shared Services
Citi

Elena Ramos-Velita
Vice President, Citi Global Consumer Group – Tax Regulatory Program Management
Citi

Joy Harper
Vice President, Product Tax
BlackRock

Kimberly Schoenbacher
Senior Manager, Foreign Payments Program Office
Internal Revenue Service

Jonathan C. O'Donnell
Senior Tax Counsel, Global Tax
AIG

Hadrian Tucker
Senior Compliance Officer, U.S. Operations
State Bank of India

Tomer Siegal
Sr. Solution Consultant
Thomson Reuters ONESOURCE AEOI

Michael Drinkwater
Head of FATCA / CRS
Thomson Reuters

Pre-Conference Workshops | November 7, 2017

12:00 Registration

12:30 Interactive Workshop A

Debriefing from CRS 2017 to Educate both Late and Early Adopters

Are you a late or early adopter? Are you prepared for your upcoming CRS deadline? Both sides of the coin still have much to learn. Study the successes and challenges from the first year of CRS reporting to ensure total compliance for 2018.

This interactive workshop will provide you with the tools and strategies to:

- Understand where successes lie in 2017 CRS reporting
- Address post-implementation challenges to better approach them in the future
- Avoid inconveniences caused to on-boarding procedures
- Use technology to ensure that all information is transmitted in a common, recognizable format

Patricia Lopez, Senior Vice President, Tax Information Reporting Shared Services
Citi

2:30 Networking Break

3:00 Interactive Workshop B

Achieving Reporting Excellence from an Operations-Heavy Perspective by Setting Up Controls that Sustain Compliance

Information reporting is a known but unperfected craft in the financial industry. What areas are most troubling? Where do issues arise? Are you managing deadlines efficiently? We are not all reporting gurus quite yet. But this workshop will leave you fully prepared for FATCA, CRS, 1042-S, and general reporting requirements.

This interactive workshop will provide you with the tools and strategies to:

- Ensure accurate FATCA reporting through the new XML format by reviewing necessary procedures
- Obtain necessary practices for 1042-S reporting
- Set up controls for the most accurate CRS reporting
- Address and solve general reporting issues

Dana Flynn, Director, Head of U.S. IRW, FATCA & QI Advisory
BNP Paribas

Christopher Liguori, Managing Director
State Street Bank and Trust Company

5:00 End of Pre-Conference Workshops

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Day One | Wednesday, November 8, 2017

7:15 Registration and Morning Coffee

7:55 Safety Briefing

8:00 Chairperson's Opening Address
Dana Flynn, Director, Head of U.S. IRW, FATCA & QI Advisory
BNP Paribas

SUSTAINING FATCA COMPLIANCE WHILE NOTING DIFFERENCES IN RELATION TO CRS

8:15

Analyzing Information Reporting and Withholding Regulatory Updates to Safeguard Compliance

- Grasping developments on Chapter 3 and 61 to ensure successful reporting
- Breaking down 871(m) revisions to warrant adaptability
- Uncovering recently proposed regulations under Chapter 4 to sustain awareness
- Interpreting news and guidance from the IRS and local jurisdictions to remain cognizant

Kevin V. Sullivan, Managing Director & Tax Executive, Head of U.S. Information Reporting Advisory
Bank of America

9:00

Addressing FATCA's Current Standing to Ensure Compliance

- Assessing the latest regulatory requirements to sustain awareness
- Identifying post-implementation considerations to digest various viewpoints
- Identifying the scope of work to manage expectations and goals
- Leveraging the FATCA learning curve to assist with CRS compliance
- Predicting the future of FATCA with the Trump administration when uncertainty persists

Joy Harper, Vice President, Product Tax
BlackRock

9:45 Networking Break

10:15

Clarifying CRS Requirements and Updates to Avoid Financial Risk

- Spelling out the difference between FATCA and CRS to accomplish the most accurate reporting
- Performing a residency test for a smooth on-boarding process
- Initiating the gathering Tax Identification Numbers to effectively onboard customers
- Addressing country-specific issues to meet various CRS requirements

Simon Lee, Assistant Vice President, Tax
CIBC Mellon

11:00

Joint Discussion

Performing a Successful FATCA and CRS Tax Form Validation to Protect Your Institution

- Analyzing legislative framework, market landscape and emerging trends to formulate best practices in tax form validation
- Discussing common errors to avoid pitfalls
- Choosing an optimal model of cross-checking against internal and external data sources to support your validation process
- Ensuring robust and reliable audit trails to call on during audits
- Concluding best practices and methods available to reduce risks and improve accuracy of the validation process

Prof. Haydon Perryman, Senior FATCA & CRS Advisor
TAINA Technology

Marta Kurucz, Leading Manager of Client Pilots & FATCA / CRS Subject Matter Expert
TAINA Technology

DEFINING METHODS OF EFFICIENCY FOR TOTAL COMPLIANCE

11:45

Joint Discussion

Preparing for CRS Compliance as a Late Adopter to Avoid Future Complications

- Laying the ground work for first-time CRS implementation and planning to handle its challenges
- Illustrating why some jurisdictions choose to implement CRS and why others do not
- Interpreting CRS regulations when they are far from common
- Examining whether or not CRS will remain in place and will justify costs

Shawn Ormont, Vice President, Tax
Brown Brothers Harriman

Mohamed Vandi, Assistant Vice President, Tax
Brown Brothers Harriman

Day One | Continued

12:30 Lunch

1:30 Joint Discussion

Managing CRS Data to Prepare for the Second Year of Reporting

- Addressing key challenges related to data management and CRS to avoid them in the future
- Measuring the response from financial institutions to determine methods of efficiency
- Summarizing the trends and best practices in tax data management to determine what the future holds

Michael Drinkwater, Head of FATCA / CRS

Thomson Reuters

Tomer Siegal, Sr. Solution Consultant

Thomson Reuters

2:15 Joint Discussion

Balancing Day-to-Day Operations for FATCA, CRS, and Various Governing Bodies While Remaining Compliant

- Gathering client information for various tax reporting regimes while remaining organized
- Learning how and when to process the said information to meet various deadlines without compromising quality
- Efficiently handling costs associated with added support systems and reporting processes

Elena Ramos-Velita, Vice President, Citi Global Consumer Group – Tax Regulatory Program Management

Citi

Ahmed Essam El Din Omar, Head of Compliance, FATCA & CRS Responsible Officer

Banque Misr

3:00 Networking Break

3:30

Implementing CRS from an Operational Perspective to Minimize Internal Impact

- Building a robust internal CRS operations team initiate compliance at the ground floor
- Putting necessary procedures in place to protect your institution
- Monitoring CRS implementation while meeting reporting deadlines
- Piloting due diligence and effective client communication for CRS

Chris Phillips, Director & FATCA Responsible Office

Deutsche Bank

4:15 Interactive Panel Discussion

Maintaining a Robust Governance Program by Leveraging Existing Controls

- Managing adequate compliance programs for FATCA, CRS, QI, and 1042-S
- Ensuring that legal operations and compliance are engaged in governance
- Preparing for tax authority and internal audits on information reporting and withholding

Moderator:

Karan Mosley, Director, Information Reporting & Tax Withholding, Corporate Tax
Barclays Capital

Panelists:

Carolyn Diehl, Vice President, Tax Compliance

Fidelity Investments

Jonathan C. O'Donnell, Senior Tax Counsel, Global Tax
AIG

Chris Phillips, Director & FATCA Responsibility Office
Deutsche Bank

5:00 Closing Remarks of the Chair & End of Day One

[More Registration Details. Click Here!](#)

Day Two | Thursday, November 9, 2017

7:30 Registration and Morning Coffee

8:15 Chairperson's Opening Address

Dana Flynn, Director, Head of U.S. IRW, FATCA & QI Advisory
BNP Paribas

IDENTIFYING THE INTERNATIONAL LANDSCAPE OF COUNTRY BY COUNTRY REPORTING AND A HARD BREXIT

8:30 Interactive Panel Discussion

Explicating the Rudiments of Country by Country Reporting to Avoid Misinterpretation

- Demonstrating the benefits of implementation to investors
- Learning to comply with CbC requirements as a US-based or foreign financial institution
- Utilizing a standard auditing process in light of data inconsistencies that vary in CbC reporting

Ellen Kandel-Burg, Executive Director
JPMorgan Chase & Co

David Felman, Vice President & Tax Counsel, Tax Planning & Advisory
Goldman Sachs

Hadrian Tucker, Senior Compliance Officer
State Bank of India

9:45 Joint Discussion

Demonstrating the IRS Implementation of Country-by-Country Reporting and Making a Technological Comparison of FATCA and CRS Reporting

- Explaining the IRS implementation of CbC Domestic Reporting and exhibiting available resources for domestic filers
- Employing the OECD XML schema for data exchanges in support of CbC Reporting
- Clarifying the similarities between FATCA and CRS reporting and spelling out where the involved technology differs

Bill Holmes, Director, Data Solutions
Internal Revenue Service

Barry Levine, Program Manager, Data Solutions
Internal Revenue Service

10:30 Networking Break

11:00 Joint Discussion

Evaluating the Potential Outcomes of a Hard Brexit to Ensure a Soft Landing

- Allocating senior management, employees, and various functions elsewhere while facing an unclear set of rules
- Assessing Brexit's effect on employee data requirements to lessen the potential impact
- Determining Brexit's impact on income tax and indirect tax to evaluate vulnerability
- Gauging risks and developing reasonable procedures to avoid a corporate criminal offense

Hadrian Tucker, Senior Compliance Officer, U.S. Operations
State Bank of India

Christopher Liguori, Managing Director
State Street Bank and Trust Company

MAXIMIZING PRODUCTIVITY IN INFORMATION REPORTING AND WITHHOLDING AT HOME AND ABROAD

11:45

Acting as a Qualified Derivatives Dealer under the Qualified Intermediary Agreement for US Equity Derivatives Transactions

- Distinguishing QI from QDD to unravel complexities
- Keeping abreast of updates on the QI and QDD regimes
- Preparing for 2018's Responsible Officer certification by establishing a compliance program
- Assuming primary withholding responsibility, collecting necessary documentation and forms, and maintaining liability to meeting the requirements associated with being a QDD

Dana Flynn, Director, Head of U.S. IRW, FATCA & QI Advisory
BNP Paribas

12:30 Lunch

1:30 Joint Discussion

Summarizing Final Agreements for Foreign Financial Institutions and Qualified Intermediaries to Enter to with the IRS

- Undergoing the certification process as an FI or QI before entering into an agreement
- Clarifying requirements for renewing your FI registration to meet deadlines
- Uncovering updates in required forms and publications under Chapters 3 and 4

Kimberly Schoenbacher, Senior Manager, Foreign Payments Program Office
Internal Revenue Service

Dana Flynn, Director, Head of U.S. IRW, FATCA & QI Advisory
BNP Paribas

2:15 Joint Discussion

Greeting Section 871(m) Updates and Regulations to Warrant Adaptability

- First thing is first: Understanding the background of 871(m)
- Managing institutions through the 871(m) transitions to ensure compliance with the requirements
- Preparing institutions for upcoming 2018 changes to maintain readiness
- Discussing 871(m)'s impact on foreign financial institutions for a comprehensive understanding

Simon Lee, Assistant Vice President, Tax
CIBC Mellon

Joy Harper, Vice President, Product Tax
BlackRock

3:00 Networking Break

3:30 Interactive Panel Discussion

Clarifying Withholding Operations under Section 305(c) to Further Apprehend Its Role in Reporting

- Clarifying the background and what is covered under 305(c) to illuminate its unclear character
- Measuring the impact of 305(c) on foreign financial institutions to initiate preparation
- Shedding light on the valuation method for 305(c) deemed distributions to resolve its complexities
- Measuring 305(c)'s impact on mutual funds
- Interpreting 305(c)'s timing for tax withholding and its effect on changes of ownership

Moderator:

Jake Braun, Managing Director, Tax Information Reporting & Withholding, Corporate Tax
BNY Mellon

Panelists:

Carolyn Diehl, Vice President, Tax Compliance
Fidelity Investments

Joy Harper, Vice President, Product Tax
BlackRock

Hadrian Tucker, Senior Compliance Officer
State Bank of India

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This course carries a program level of intermediate requiring a minimum pre-requisite of one year as an active practicing licensee. There is no advance preparation necessary for this Group Live activity and should it be completed in entirety attendees will be eligible for 17 CPE credits in the Tax field of study.

4:15 Interactive Panel Discussion

Highlighting the Reality of Banking and Reporting in the Caribbean and Latin America to Foster Greater Transparency

- Managing ever-changing CRS deadlines in the Cayman Islands
- Surviving and thriving when regulations constantly change and add cost
- Safeguarding your beneficial owner's registry to avoid exploitation
- Addressing the challenges associated with banking in these areas to comprehend where risk lies
- Reconstructing a positive reputation after a once-tainted standing

Moderator:

Ryan Bahadur, Senior Vice President, Chief Risk & Regulatory Compliance Officer
Cayman National Corporation Ltd.

Panelists:

Michelle Martin, President
Anti-Money Laundering and Financial Crimes Institute

Violeta Sanford, FATCA Compliance Officer
Global Bank

Hazel O'Brien, Business Manager, FATCA / CRS
Harneys Fiduciary

Jennifer Dors, Group Compliance Head
Maduro & Curiel's Bank

5:00 Closing Remarks of the Chair & End of the Conference

[More Registration Details. Click Here!](#)

MARKETING INFO

For more information about the event or information on how to book, please contact: **Angélica Anselmo**, AngelicaA@marcusevansch.com, 1 312 894 6302

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TAINA is a London RegTech start-up with a mission to help financial institutions streamline and automate their FATCA and CRS compliance, by harnessing the power of cutting edge computer science to save its clients costs and reduce risks.

TAINA is rolling out a fully automated FATCA and CRS tax form validator which is capable of processing all types of forms, including electronic and non-electronic forms.

Reach out to us at contact@taina.tech. www.TAINA.tech.

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WHY YOU SHOULD ATTEND:

Professionals attending this premier marcus evans conference will gain a comprehensive understanding of tax updates and regulations involving CRS, FATCA, Chapters 3 and 4, and Country by Country reporting. Additionally, they will develop a clearer vision of banking operations in the Caribbean and Latin America while preparing for upcoming uncertainties facing their institutions such as Brexit.

Participants will leave this conference with the newfound confidence to execute their knowledge at their respective institutions to ensure compliance and minimize risk while having developed meaningful relationships with clients and stakeholders.

TESTIMONIALS:

"Attending this conference was extremely beneficial as it allowed me to benefit from the experience and knowledge of others."
Republic Bank

"The conference was excellent. It was great to hear about the challenges others face in implementing FATCA & CRS intensity and informative speakers and topics."
Mizuho Bank Ltd.

"I very much appreciate the breadth of knowledge the presenters seem to have on each topic that was presented and it was also a plus that the presenters presented large international firms."
Hottinger Bank & Trust